



# SMIFS CAPITAL MARKETS LIMITED

July 5, 2021

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2021 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
For SMIFS Capital Markets Limited

*P. Bhatia*

(Poonam Bhatia)  
Company Secretary-cum-Senior Manager Legal

Encl: a/a



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### General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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*Poonam B. Setia*

(POONAM B. SETIA)  
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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		
								No of Voting (XIV) Rights		
								Class eg: X	Class eg: Y	Total
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	2,534,051.00		2534051.00
(B)	Public	1208	3050949			3050949	54.63	3050949.00		3050949.00
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	<b>Total</b>	<b>1220</b>	<b>5585000</b>			<b>5585000</b>	<b>100</b>	<b>5585000.00</b>		<b>5585000.00</b>

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Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
45.36				45.37					2534051
54.64				54.63					3002809
100.00				100					5536860

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities{(X)}				No. Of Shares Underlying Outstanding convertible securities (X)
								No of Voting (XIV)Rights			Total as a % of Total Voting rights	
								Class eg: X	Class eg:Y	Total		
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian											
(a)	Individuals/Hindu undivided Family	9	784350			784350	14.04	784350.00		784350	14.04	
(b)	Central Government/ State Government(s)											
(c)	Financial Institutions/ Banks											
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701.00		1749701	31.33	
	Sub-Total (A)(1)	12	2534051			2534051	45.37	2534051.00		2534051	45.37	
(2)	Foreign											
(a)	Individuals (NonResident Individuals/ Foreign Individuals)											
(b)	Government											
(c)	Institutions											
(d)	Foreign Portfolio Investor											
(e)	Any Other (specify)											
	Sub-Total (A)(2)											
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	2534051			2534051	45.37	2534051.00		2534051	45.37	

Details of Shares which remain unclaimed for Promoter &amp; Promoter Group

<b>B Table III - Statement showing shareholding pattern of the Public shareholder</b>												
<b>(1) Institutions</b>												
(a)	Mutual Funds											
(b)	Venture Capital Funds											
(c)	Alternate Investment Funds											
(d)	Foreign Venture Capital Investors											
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000		550000	9.85	
(f)	Financial Institutions/ Banks											
(g)	Insurance Companies											
(h)	Provident Funds/ Pension Funds											
(i)	Any Other (specify)											
	<b>Sub-Total (B)(1)</b>	<b>1</b>	<b>550000</b>			<b>550000</b>	<b>9.85</b>	<b>550000.00</b>		<b>550000</b>	<b>9.85</b>	
<b>(2) Central Government/ State Government(s)/ President of India</b>												
	<b>Sub-Total (B)(2)</b>											
<b>(3) Non-Institutions</b>												
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1143	533719			533719	9.56	533719		533719	9.56	
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	1010426			1010426	18.09	1010426		1010426	18.09	
(b)	NBFCs registered with RBI											
(c)	Employee Trusts											

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/Bhatia

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(d)	Overseas Depositories (holding DRs) (balancing figure)											
(e)	Any Other (specify)	56	956804			956804	17.13	956804		956804	17.13	
	<b>Sub-Total (B)(3)</b>	1207	2500949			2500949	44.78	2500949.00		2500949	44.78	
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	1208	3050949			3050949	54.63	3050949.00		3050949	54.63	

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
(1)	Custodian/DR Holder - Name of DR Holders (if available)											
(2)	Employee Benefit Trust (under SEBI/Share based Employee Benefit Regulations, 2014)											
	<b>Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>											
	<b>Total (A+B+C2)</b>	1220	5585000			5585000	100.00	5585000.00		5585000	100.00	
	<b>Total (A+B+C)</b>	1220	5585000			5585000	100.00	5585000.00		5585000	100.00	
	Disclosure of notes on shareholding pattern											
	Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage											
	Disclosure of notes in case of public share holding is less than 25 percentage											
	Disclosure of notes on shareholding pattern for company remarks explanatory											

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		17.13				947704
		44.78				2452809
		54.63				3002809
		100.00				5536860
		100.00				5536860

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type	
						No of Voting (XIV) Rights		Total as a % of Total Voting rights						
						Class eg:X	Total							
A1(a) Individuals/Hindu undivided Family														
Add		Delete												
1	Ajay Kumar Kayan	AGGPK0892G	400550	400550	7.17	400550.00	400550.00	7.17		7.17	400550		Promoter	
2	Lalita Kayan	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76		2.76	154300		Promoter Group	
3	Nilangi Parekh	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20		2.20	123000		Promoter Group	
4	Utsav Parekh	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72		1.72	96200		Promoter	
5	Rahul Kayan	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13		0.13	7000		Promoter Group	
6	Suman Bhartia	AEEP89602B	1500	1500	0.03	1500.00	1500.00	0.03		0.03	1500		Promoter Group	
7	Ajay Kumar Kayan HUF	AACHA9009B	700	700	0.01	700.00	700.00	0.01		0.01	700		Promoter Group	
8	Payal Saraf	AFYPK7045N	100	100	0.00	100.00	100.00	0.00		0.00	100		Promoter Group	
9	Gauri Shankar Ajay Kumar (HUF)	AABHG5183R	1000	1000	0.02	1000.00	1000.00	0.02		0.02	1000		Promoter Group	
Click here to go back			Total	784350	784350	14.04	784350.00	784350.00	14.04	14.04	784350			

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Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
A1(d)	Any Other (specify)					
<div>AddDelete</div>						
1	Bodies Corporate	Mackertich Consultancy Services Private Limited	AABCM5680Q	1	1115700	1115700
2	Bodies Corporate	Progressive Star Finance Private Limited	AABCP6020F	1	357251	357251
3	Bodies Corporate	Stewart Investment and Financial Private Limited	AADCS8612C	1	276750	276750
Click here to go back				Total	3	1749701
					1749701	1749701

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
	No of Voting (XIV) Rights		Total as a % of Total Voting rights					
	Class eg: X	Total						

19.98	1115700.00	1115700.00	19.97		19.98	1115700		Promoter Group
6.40	357251.00	357251.00	6.40		6.40	357251		Promoter Group
4.96	276750.00	276750.00	4.95		4.96	276750		Promoter Group
31.33	1749701.00	1749701.00	31.32		31.33	1749701		

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
B1(e)	Foreign Portfolio Investors										
<div>AddDelete</div> <div>Disclosure of shareholder holding more than 1% of total number of shares</div>											
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	
	<a href="#">Click here to go back</a>	Total	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	

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*P. Bhatia*  
 (POONAM BHATIA)  
 COMPANY SECRETARY  
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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
B3(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.										
Add		Delete		Disclosure of shareholder holding more than 1% of total number of shares							
1	MINAL BHARAT PATEL	AACPP5126G	323339	323339	5.79	323339.00	323339.00	5.79	5.79	323339	
2	S N RAJAN	ANWPS3969F	283297	283297	5.07	283297.00	283297.00	5.07	5.07	283297	
3	SANGEETHA S	AIIPS3739F	182590	182590	3.27	182590.00	182590.00	3.27	3.27	182590	
4	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	67500	67500	1.21	67500.00	67500.00	1.21	1.21	67500	
5	RAKESH KUMAR JAIN	AAFPJ6867K	60098	60098	1.08	60098.00	60098.00	1.08	1.08	60098	
Click here to go back			Total	916824	16.42	916824.00	916824.00	16.42	16.42	916824	

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Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)
B3(e)	Any Other (specify)				
<div>AddDelete</div>					
1	IEPF	Category			1
2	Bodies Corporate	Category			42
3	Non-Resident Indian (NRI)	Category			7
4	Clearing Members	Category			6
Click here to go back					Total
					56

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No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
			No of Voting (XIV) Rights		Total as a % of Total Voting rights			
			Class eg: X	Total				

111437	111437	2.00	111437	111437	2.00	2.00	111437	
840219	840219	15.04	840219	840219	15.04	15.04	834119	
4657	4657	0.08	4657	4657	0.08	0.08	1657	
491	491	0.01	491	491	0.01	0.01	491	
956804	956804	17.13	956804.00	956804.00	17.13	17.13	947704	

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*P. Bhatia*

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**RAHUL INTERNATIONAL**

THE WORLD WIDE COURIERS

B-26, 8 Camac Street, Kolkata - 700 017

Tel. : 2282 0529

ORIGIN	DESTINATION
CCU	Kol
PIECES	WEIGHT
①	0.5

TAX INVOICE/AIRWAY BILL NO.
274909

SHIPPER (COMPANY NAME)	
SMIFS CAPITAL	
PINCODE	PHONE/FAX

CONSIGNEE (COMPANY NAME)	
The Secretary	
The Calcutta	
Stock Exchange	
Kol	
PINCODE	PHONE/FAX

PARCEL	DOCUMENT	CASH	ACCOUNT
SERVICES		CHARGES	
CGST	%		
SGST	%		
IGST	%		
TOTAL			

DESCRIPTION OF CONTENTS	

Total Inclusive of GST (R/O)	

LIMITATIONS OF LIABILITY	
I/WE HEREBY DECLARE THAT THE CONSIGNMENT DOES NOT CONTAIN PERSONAL MAIL, CURRENCY NOTES, SHARE CERTIFICATES, ANY CONTRA BAND ETC. I/WE AGREE TO THE TERMS AND CONDITIONS OVERLEAF.	
SHIPPER'S SIGNATURE	DATE

GSTIN : 19ACVPJ9514H1ZZ

PAN : ACVPJ9514H

SAC CODE : 9968

Place of Supply : WB State Code : 19

Yours faithfully,

For SMIFS Capital Markets Limited

*Poonam Bhatia*

(Poonam Bhatia)

Company Secretary-cum-Senior Manager Legal

Encl: a/a

